



CUSTOMER NO. 24498,  
Serial No. 09/943,057

PATENT  
PU010155

RS 2661  
# 4  
7-24-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : THOMAS HERBERT JONES ET AL.  
Serial No. : 09/943,057  
Filed : August 30, 2001  
For : MODEM FRONT-END APPARATUS AND  
METHOD  
Art Unit : 2661

RECEIVED

JUL 16 2004

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

- [ X ] 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- [ ] 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- [ ] 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- [ ] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- [ X ] 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [ X ] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- [ ] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- [ X ] 8 The required certification made in item 11 below; or
- [ ] 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- [ ] 10 37 CFR § 1.97(d): [before issue fee payment]; and
- (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch \_\_\_, Date of Allowance \_\_\_\_\_"), and
- (b) The required Certification is stated in item 11 below.

☒ 11 Certification

☒ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

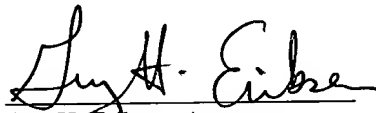
☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☐ 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,

THOMAS HERBERT JONES ET AL.

BY:



Guy H. Eiksen, Attorney  
Registration No. 41,736  
(609) 734-6807

Thomson Licensing Inc.  
Patent Operations  
P. O. Box 5312  
Princeton, New Jersey 08543-5312

Enclosures

July 12, 2004

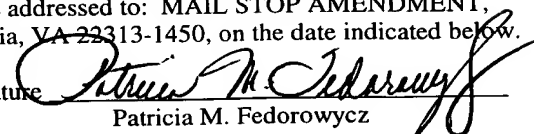
Certificate Of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in a postage-paid envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Date:

July 12, 2004

Signature

  
Patricia M. Fedorowycz

Sheet 1 of 1**CUSTOMER NO. 24498**

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. <b>PU010155</b>		SERIAL NO. <b>09/ 943,057</b>	
<b>INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97</b> (Use several sheets if necessary)				APPLICANT <b>Thomas Herbert Jones et al.</b>			
				FILING DATE <b>August 30, 2001</b>		GROUP <b>2661</b>	
U.S. PATENT DOCUMENTS							
EXAMINE INITIAL		DOCUMENT NUMBER	ISSUE DATE	APPLICANT/PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	6,208,665	03/27/01	D. Loukianov et al.	370	486	
	AB	5,537,408	07/16/96	M. W. Branstad et al.	370	79	
	AC	5,905,732	05/18/99	M. Fimoff et al.	370	516	
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION Yes No
	AL	0 722 233	07/17/96	EPO	H04J	3/06	
	AM						
	AN						
	AO			*EPO Search Report dated June 16, 2004 attached*			
	AP						
	AQ						
OTHER INFORMATION (Including Author, Title, Pub.Date, Pertinent Pages, Country, Etc.)							
	AR						
	AS						
	AT						
EXAMINER				DATE CONSIDERED			
SUBMITTED BY:				REG.NO.:		DATE:	
GUY H. ERIKSEN <i>Guy H. Eriksen</i>				41,736		July 12, 2004	

**RECEIVED****JUL 16 2004**

Technology Center 2600